# **Legal & Compliance**

#### Positions available in all regions: Americas, APAC & EMEA

#### Together, we help more and more people experience financial well-being

Compliance ensures the firm satisfies its regulatory obligations, protects its reputation, and provides advice to and partners with the business to meet our clients' expectations.

#### Governance & oversight

We are responsible for implementing a robust governance and oversight framework by presiding over and participating in relevant oversight committees, issue escalations, and measuring overall efficacy.

#### **Risk identification & mitigation**

We identify and mitigate potential reputational and regulatory risks by developing processes and controls across BlackRock's various activities.

#### **Compliance monitoring and testing**

We perform risk-based monitoring and testing of key compliance controls.

### Teams you may be considered for:

#### **Country Compliance**

Provide local compliance coverage to ensure jurisdictional obligations are met while upholding the global program standards.

#### **Compliance Solutions**

Enable business process improvements and lead technology transformations to deliver operational scale and reduction in regulatory and reputational risks.

#### **Investment Advisory**

Provide regulatory advice and support to BlackRock's businesses on policies, procedures, and controls.

### **Marketing & Distribution**

Provide regulatory guidance on permissible sales and marketing-related activities of the firm's products, services, capabilities, thought leadership and brand.

#### **Enterprise Compliance**

Implement a global program to ensure adherence to policy and regulation associated with employee activities, global privacy laws, policy management, training and reporting.

#### Regulatory Engagement & Development

Lead key regulatory relationships and identify regulatory changes that impact BlackRock.

#### **Financial Crime**

Design and implement a global program to manage financial crimes risk (such as money laundering, bribery and corruption, sanctions and fraud) that meets local regulatory requirements, and guidance to businesses.

#### Surveillance & Regulatory Data Analytics

Enable business process improvements and lead technology transformations to deliver operational scale and reduction in regulatory and reputational risks.

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# Compliance

## What will you do as an Analyst?

- Partner with various teams by working on a variety of profile projects
- Be involved in initiatives that will enhance processes and deliver operational scale

## What capabilities are we looking for?

- Critical thinking
- Analytical approach
- Project management
- Basic or intermediate coding and programming skills
- Excellent written and verbal communication skills with the ability to present sophisticated information clearly and concisely

## Your learning & development will include:

• Balancing multiple priorities while learning about the firm, fostering connections, diversity of thoughts, and diving into the intricacies of the financial industry,

## BlackRock.