# Legal

Positions available in: Asia Pacific

Together, we help more and more people experience financial well-being. In particular, our Compliance business ensures we meet regulations and protect BlackRock's reputation

#### What does our business do?

The Legal team's mission is to deliver the highest quality services to the firm; to understand and advise on the impact of regulatory requirements and best practices; and to develop and implement an effective compliance program crafted to address compliance with regulatory, client and firm requirements globally.

#### **Our business contains five teams:**

## **Compliance Advisory**

Supports the business in key initiatives and advice on regulations, regulatory changes and conflicts of interest.

## **Regulatory Risk & Review**

Monitors risk and tests the Firm's compliance with relevant regulations, policies and procedures.

# Regulatory Engagement & Development

Focuses on interaction with BlackRock's various regulators across exams, inquiries and other engagements, and helps monitor regulatory reform from impact assessment to implementation.

## **Core Compliance**

Ensures various policies are adhered to, builds annual compliance training for all employees and leads employee personal account trading, political contributions and outside business activities.

#### **Financial Crime**

Deals with all aspects of financial crime prevention including anti money laundering, anti-bribery and corruption, sanctions and fraud prevention.

## We partner with:

- Internally:
  - Global Public Policy Group on new regulatory reform, consultation, relevant legal documents and marketing materials
  - Client Businesses on compliance advisory regarding sales and marketing activities
  - Risk and Quantitative Analysis on operating events and risk oversight
- Externally: Legal counsel

## What capabilities are we looking for?

- Build relationships with the potential for a longer-term career within Legal
- Be involved in various aspects of the Compliance program, which may include Compliance Advisory, Regulatory Risk & Review, Regulatory Engagement and Development, Core Compliance and/or Financial Crime
- Experience high profile project work
- Participate in projects aimed at optimizing business and control activities and processes
- Bring a new perspective
- Build relationships with various business stakeholders outside Legal and Compliance
- Learn about the legal and regulatory framework and trends in Asia-Pacific

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## What capabilities are we looking for?

- · Analytical approach
- · Basic or intermediate language skills
- Critical thinking
- Decision making
- · Project management

## Your learning & development will include:

- Decision-making and problem-solving. Your role may require judgement calls when there are no clear-cut
  answers, especially when advising on areas that are not explicitly defined in the relevant laws, regulations or
  guidelines. Analysts will gradually learn how to think flexibly and from different angles to find solutions that
  benefit both our clients and BlackRock, without compromising our fiduciary duties or reputation.
- Analysts will have the opportunity to learn about a variety of areas within the Legal business division and the key role it plays at BlackRock.