

Compliance

Positions are available in: Americas, Europe, and Asia Pacific

Together, we help more and more people experience financial well-being. In particular, our Compliance business ensures we meet regulations and protect BlackRock's reputation.

What does our business do?

Compliance ensures the firm satisfies its regulatory obligations, protects its reputation, and provides advice to and partners with the business to meet our clients' expectations.

Governance & Oversight

- Advising on and development of robust governance and oversight framework
- Presiding over and participating in relevant oversight committees
- Issue escalation
- Measurement of compliance effectiveness
- Compliance policies
- Compliance scorecard

Risk Identification

- Identifying potential reputational and regulatory risk
- Assessing the risk for BlackRock's business
- Complete risk assessments

Risk Mitigation

- Employee training
- Compliance procedures
- Development of processes and controls

Compliance Monitoring and Testing

- Risk-based monitoring and testing of key controls and processes
- Reviewing financial crime high-risk transactions
- Transaction surveillance
- Overseeing third parties and branches

Metrics & Reporting

- Producing management information reporting
- Risk-based KRIs/KPIs
- Compliance scorecard

We partner with:

- **Internally:** We partner with most divisions in the firm to ensure they satisfy regulatory obligations.
- **Externally:** We engage with regulators (e.g., the Securities and Futures Commission).

Compliance

Our business contains six teams:

Regulatory Engagement & Development

Supervises key regulatory relationships and identifies regulatory changes that may impact BlackRock.

Corporate Compliance

Enacts control procedures prescribed by regulation and ensures all employees follow them.

Compliance Monitoring and Testing

Risk-based monitoring of the firm's compliance with relevant regulations, policies and procedures.

Advisory/ Line of Business Compliance

Advises and supports the business on policies, procedures and regulations.

Financial Crime

Manages financial crime compliance risk including anti-money laundering, anti-bribery and corruption, sanctions and fraud.

Trade Surveillance

Considers transactions undertaken by BlackRock to assess compliance with regulatory obligations and test the effectiveness of our front-line controls.

What will you do as an analyst?

- Build relationships with the potential for a longer-term career within Compliance
- Be involved in various aspects of the Compliance program, which may include Compliance Advisory/Line of Business, Monitoring and Testing, Surveillance, Regulatory Engagement and Development, Corporate Compliance and/or Financial Crime
- Experience high profile project work
- Participate in projects that will optimize processes, including the opportunity to use data and coding skills
- Bring a new perspective

What capabilities are we looking for?

- Analytical approach
- Basic or intermediate coding and programming skills
- Critical thinking
- Project management
- Good written and oral communication skills